

Table of Contents
Behavioral Health and Therapy, Board of

<i>Agency Profile</i>	1
Agency Expenditure Overview	4
Agency Financing by Fund	5
Agency Change Summary	6

AT A GLANCE**Licensing Services-FY 2019**

- 6,080 total number of licenses and temporary permits (as of 6.30.2019)
- 6,503 total number of licenses and temporary permits (as of 6.30.2020)
- 803 new licenses and temporary permits issued
- 885 new license and temporary permit applications received
- 3,981 licenses and temporary permits renewed

Complaint Services-FY 2019

- 204 new complaints received
- 164 complaints closed
- 23 disciplinary actions taken

Staff

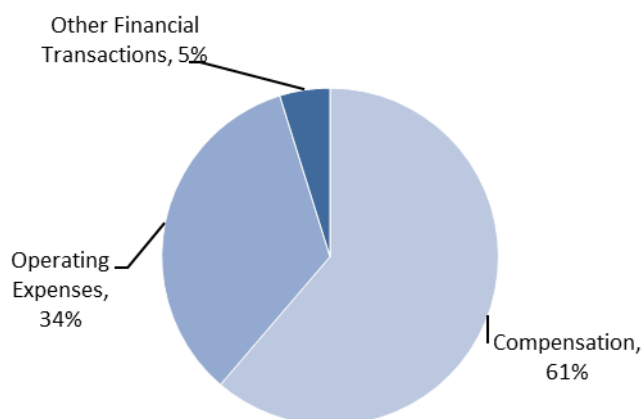
- 6.0 full time equivalent employees

PURPOSE

The Board of Behavioral Health and Therapy exists to regulate the practices of alcohol and drug counseling, professional counseling, and professional clinical counseling in the State of Minnesota. Regulation of these professions is necessary to protect the health, safety and welfare of the public when they receive mental health and chemical health/substance use disorder counseling services. The Board carries out its mission through effective licensure and enforcement of statutes and rules that ensure a standard of competent and ethical practice.

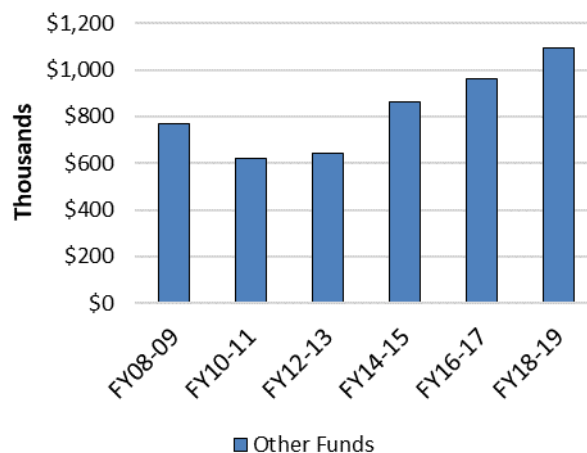
BUDGET

**Spending by Category
FY 2019 Actual**



Source: Budget Planning & Analysis System (BPAS)

Historical Spending



Source: Consolidated Fund Statement

Licensure fees fund the Board and we receive no general fund dollars. Minnesota Statutes section 214.06, subdivision 1(a) compels the Board to collect fees in the amount sufficient to cover direct and indirect

expenditures. Funds are deposited as non-dedicated revenue into the state government special revenue fund. From this fund, the Board receives a direct appropriation to pay for agency expenses such as salaries, rent, costs associated with disciplinary/contested cases, and operating expenditures. It also pays statewide indirect costs through an open appropriation.

In addition to Board operations, licensure fees fund activities that support multiple boards and/or other agencies. Some of these are the Department of Administration Small Agency Resource Team (smART) (inter-board), the Administrative Services Unit (inter-board), Health Professionals Services Program (inter-board), Office of the Attorney General for legal services, and the Criminal Background Check Program (inter-board).

STRATEGIES

The Board of Behavioral Health and Therapy promotes and protects the health and safety of the citizens of Minnesota and accomplishes its public protection mission by:

- Setting educational, supervision, and examination requirements for initial licensure as a licensed professional counselor (LPC), licensed professional clinical counselor (LPCC), or licensed alcohol and drug counselor (LADC);
- Issuing the LADC license or permit, LPC license, or LPCC license to practice only to qualified individuals;
- Setting the requirements for renewal of a license or permit and administering the renewal process;
- Setting standards of ethical practice;
- Responding to inquiries, complaints and reports regarding applicants and licensees;
- Investigating complaints of alleged violations of statutes and rules, holding educational and disciplinary conferences with licensees and applicants, taking disciplinary or corrective action when appropriate against practitioners who fail to meet minimum standards of practice, and reporting public actions to national databanks;
- Maintaining a website that provides information to the public about complaints and discipline, including a list of disciplinary and corrective actions taken by the Board;
- Offering online services from the Board's website, including license verification and links to the full text of public disciplinary orders the Board has adopted against licensed professionals;
- Approving continuing education activities; and
- Providing information about licensure requirements and standards of practice to students, applicants, licensees, and other interested parties.

RESULTS

The information included below was generated using the Board's licensure and regulatory database. The information demonstrates a stable trend in the Board's activities and an increase in efficiency in processing license renewals through online services. The total number of licensees continues to rise. The number of disciplinary actions taken depends on complaints the Board receives and the level of severity of misconduct that is substantiated. The Board took a total of 21 disciplinary actions in FY 2016 and FY 2017. The Board took a total of 44 disciplinary actions in FY 2018 and FY 2019.

<i>Type of Measure</i>	<i>Name of Measure</i>	<i>Previous</i>	<i>Current</i>	<i>Dates</i>
Quantity	Number of new licenses issued	837	866	FY18 & FY19
Quality	Percent of licensees renewing their licenses online	70%	74%	FY16-17 & FY18-19
Quantity	Number of disciplinary actions taken	21	44	FY16-17 & FY18-19

The Board's activities are governed by Minnesota Statutes sections 148B.50 to 148B.593 (<https://www.revisor.mn.gov/statutes/?id=148b.50>) and Minnesota Rules chapter 2150 (<https://www.revisor.mn.gov/rules/?id=2150>) (professional counseling and professional clinical counseling), Minnesota Statutes chapter 148F (<https://www.revisor.mn.gov/statutes/?id=148F>) and Minnesota Rules chapter 4747 (<https://www.revisor.leg.state.mn.us/rules/?id=4747>) (alcohol and drug counseling), and Minnesota Statutes chapter 214 (<https://www.revisor.mn.gov/statutes/?id=214>).

(Dollars in Thousands)

	Actual FY18	Actual FY19	Actual FY20	Estimate FY21	Forecast Base	
					FY22	FY23
<u>Expenditures by Fund</u>						
1201 - Health Related Boards	460	588	699	1,096	833	833
2000 - Restrict Misc Special Revenue	19	30	25	23	23	23
Total	479	618	723	1,119	856	856
Biennial Change				745		(130)
Biennial % Change				68		(7)
<u>Expenditures by Program</u>						
Behavioral Health & Therapy	479	618	723	1,119	856	856
Total	479	618	723	1,119	856	856
<u>Expenditures by Category</u>						
Compensation	366	379	460	582	622	626
Operating Expenses	110	209	239	507	234	230
Other Financial Transaction	3	30	25	30		
Total	479	618	723	1,119	856	856
<u>Full-Time Equivalents</u>						
	4.34	4.75	5.46	7.00	7.00	7.00

(Dollars in Thousands)

	Actual	Actual	Actual	Estimate	Forecast Base	
	FY18	FY19	FY20	FY21	FY22	FY23
1201 - Health Related Boards						
Balance Forward In		324		238		
Direct Appropriation	777	655	937	858	833	833
Open Appropriation		12				
Transfers In	7					
Cancellations		403				
Balance Forward Out	324		238			
Expenditures	460	588	699	1,096	833	833
Biennial Change in Expenditures				746		(129)
Biennial % Change in Expenditures				71		(7)
Full-Time Equivalents	4.34	4.75	5.46	7.00	7.00	7.00

2000 - Restrict Misc Special Revenue

Balance Forward In		4	5	5	5	5
Receipts	23	26	25	23	23	23
Balance Forward Out	4		5	5	5	5
Expenditures	19	30	25	23	23	23
Biennial Change in Expenditures				(1)		(2)
Biennial % Change in Expenditures				(2)		(4)

(Dollars in Thousands)

	FY21	FY22	FY23	Biennium 2022-23
<i>Direct</i>				
Fund: 1201 - Health Related Boards				
FY2021 Appropriations	858	858	858	1,716
Base Adjustments				
Current Law Base Change		(25)	(25)	(50)
Forecast Base	858	833	833	1,666
<i>Dedicated</i>				
Fund: 2000 - Restrict Misc Special Revenue				
Planned Spending	23	23	23	46
Forecast Base	23	23	23	46
<i>Revenue Change Summary</i>				
<i>Dedicated</i>				
Fund: 2000 - Restrict Misc Special Revenue				
Forecast Revenues	23	23	23	46
<i>Non-Dedicated</i>				
Fund: 1201 - Health Related Boards				
Forecast Revenues	1,448	1,464	1,470	2,934